

compulsory jurisdiction – provisional levy 2009/10

These are provisional figures, which are expected to form part of a separate consultation by the FSA in January 2009.

industry block	description	tariff basis	proposed tariff rate	2008/09 tariff rate	proposed minimum levy per firm £	proposed total £	2008/09 total £	proposed contributions by block %	contribution by block % 2008/09
1	deposit acceptors, home finance providers and administrators (excluding firms in block 14)	per relevant account	0.027	0.023	100	7,273,594	6,216,746	41.0%	36.1%
2	insurers – general (excluding firms in blocks 13 & 15)	per £1000 of relevant annual gross premium income	0.126	0.126	100	3,130,688	3,140,026	17.7%	18.3%
3	The Society of Lloyd's		n/a	n/a	n/a	28,000	28,000	0.2%	0.2%
4	insurers – life (excluding firms in block 15)	per £1000 of relevant adjusted annual gross premium income	0.025	0.049	100	1,781,063	3,141,796	10.1%	18.3%
5	fund managers	flat fee	0	0	200	180,000	191,400	1.0%	1.1%
6	operators, trustees & depositaries of collective investment schemes and operators of personal pension schemes or stakeholder pension schemes	flat fee	0	0	50	20,000	21,300	0.1%	0.1%
7	dealers as principal	flat fee	0	0	50	14,000	13,300	0.1%	0.1%
8	advisory arrangers, dealers or brokers holding and controlling client money and/or assets	per relevant approved person	55	80	55	990,094	1,931,200	5.6%	11.2%
9	advisory arrangers, dealers or brokers <i>not</i> holding and controlling client money and/or assets	per relevant approved person	35	40	35	990,094	1,042,480	5.6%	6.1%
10	corporate finance advisers	flat fee	0	50	50	14,000	24,500	0.1%	0.1%
13	cash-plan health providers	flat fee	0	50	50	600	600	0.0%	0.0%
14	credit unions	flat fee	0	50	50	24,000	23,350	0.1%	0.1%
15	friendly societies whose tax-exempt business represents 95% or more of their total relevant business	flat fee	0	50	50	3,500	3,850	0.0%	0.0%
16	home finance providers, advisers and arrangers (excluding firms in blocks 13, 14 & 15)	flat fee	0	60	65	470,156	462,060	2.7%	2.7%
17	general insurance mediation (excluding firms in blocks 13, 14 & 15)	per £1 relevant commission income	0.00016	60	75	2,780,313	964,200	15.7%	5.6%
	total – all blocks					17,700,102	17,204,808		